

$$\vec{\mu}_s = -\frac{e}{m_0} \mathbf{S}$$

where  $-e$  is charge and  $m_0$  the mass of electron,  $\mathbf{S}$  the spin angular momentum of electron.

### 9.10 (PAULI) SPIN MATRICES FOR ELECTRON

Like orbital angular momentum operators  $L_x, L_y, L_z$ , the spin operators,  $S_x, S_y$  and  $S_z$  to be associated with the components of spin angular momentum satisfy the commutation relations

$$\left. \begin{aligned} [S_x, S_y] &= S_x S_y - S_y S_x = i \hbar S_z \\ [S_y, S_z] &= S_y S_z - S_z S_y = i \hbar S_x \\ [S_z, S_x] &= S_z S_x - S_x S_z = i \hbar S_y \end{aligned} \right\} \quad \dots(1)$$

If we consider the case with spin  $= \frac{1}{2}$  i.e. electron, then according the Unlenbeck and Goudsmit hypothesis each of operators  $S_x, S_y$  and  $S_z$  must have just two eigen values  $\frac{1}{2} \hbar$  and  $-\frac{1}{2} \hbar$ . Now we introduce new auxiliary operators  $\sigma_x, \sigma_y$  and  $\sigma_z$  such that

$$\left. \begin{aligned} S_x &= \frac{1}{2} \hbar \sigma_x \\ S_y &= \frac{1}{2} \hbar \sigma_y \\ S_z &= \frac{1}{2} \hbar \sigma_z \end{aligned} \right\} \quad \dots(2)$$

The following properties of  $\sigma$ 's may be noted :

Since the eigen values of each  $S$  are to be just  $\frac{1}{2} \hbar$  and  $-\frac{1}{2} \hbar$ , the eigen values of each  $\sigma$  must be  $+1$  and  $-1$ . Each of the operators  $\sigma_x^2, \sigma_y^2$  and  $\sigma_z^2$  must therefore have only the eigen value 1 and such operator is only unit operator, therefore

$$\sigma_x^2 = \sigma_y^2 = \sigma_z^2 = 1. \quad \dots(3)$$

According to (2) and (3), the commutation rules satisfied by  $\sigma$ 's must be :

$$\left. \begin{aligned} [\sigma_x, \sigma_y] &= \sigma_x \sigma_y - \sigma_y \sigma_x = 2i \sigma_z \\ [\sigma_y, \sigma_z] &= \sigma_y \sigma_z - \sigma_z \sigma_y = 2i \sigma_x \\ [\sigma_z, \sigma_x] &= \sigma_z \sigma_x - \sigma_x \sigma_z = 2i \sigma_y \end{aligned} \right\} \quad \dots(4)$$

Now

$$\begin{aligned} 2i (\sigma_x \sigma_y + \sigma_y \sigma_x) &= (2i \sigma_x) \sigma_y + \sigma_y (2i \sigma_x) \\ &= (\sigma_y \sigma_z - \sigma_z \sigma_y) \sigma_y + \sigma_y (\sigma_y \sigma_z - \sigma_z \sigma_y) \\ &= -\sigma_z + \sigma_z = 0. \end{aligned}$$

Hence  $\sigma_x \sigma_y = -\sigma_y \sigma_x$ , so that  $\sigma_x$  and  $\sigma_y$  anticommute.

Similarly any two of the  $\sigma$ 's anticommute in pairs

$$\left. \begin{aligned} \sigma_x \sigma_y + \sigma_y \sigma_x &= 0 \\ \sigma_y \sigma_z + \sigma_z \sigma_y &= 0 \\ \sigma_z \sigma_x + \sigma_x \sigma_z &= 0 \end{aligned} \right\} \quad \dots(5)$$

Finally from (4) and (5) we have

$$\begin{aligned} \sigma_x \sigma_y &= i \sigma_z, & \dots(6a) \\ \sigma_y \sigma_z &= i \sigma_x, & \dots(6b) \\ \sigma_z \sigma_x &= i \sigma_y, & \dots(6c) \end{aligned}$$

Since each  $\sigma$  has two eigen values, so  $(2 \times 2)$  matrix may be expected to fulfil the purpose and we begin by associating with  $\sigma_z$ , the simplest  $(2 \times 2)$  matrix having the eigen values 1 and -1.

$$\sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \dots(7)$$

Now we have

$$\sigma_x \sigma_z \equiv \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} a & -b \\ c & -d \end{bmatrix} \quad \dots(8)$$

and

$$\sigma_z \sigma_x \equiv \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a & b \\ -c & -d \end{bmatrix} \quad \dots(9)$$

But  $\sigma_x$  and  $\sigma_z$  anticommute, we must have

$$\sigma_x \sigma_z + \sigma_z \sigma_x = \begin{bmatrix} a & -b \\ c & -d \end{bmatrix} + \begin{bmatrix} a & b \\ -c & -d \end{bmatrix} = 0$$

i.e.

$$\begin{bmatrix} 2a & 0 \\ 0 & -2d \end{bmatrix} = 0.$$

This yields  $a = d = 0$

so that every matrix that anticommutes with (7) as  $\sigma_x$  and  $\sigma_y$  do, accordingly  $\sigma_x$  must have the form

$$\sigma_x = \begin{bmatrix} 0 & b \\ c & 0 \end{bmatrix} \quad \dots(10)$$

The eigen values of (10) are  $\pm \sqrt{bc}$  so that if they are to be 1 and -1, we must set  $bc = 1$ . And simple possibility is to take  $b = c = 1$ , so  $\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ .

It then follows from (6c) that the matrix to be associated with  $\sigma_y$  is  $\begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$ , and hence complete list of  $\sigma$ 's becomes

$$\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \sigma_y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \dots(11)$$

These matrices  $\sigma_x, \sigma_y$  and  $\sigma_z$  are called Pauli spin matrices associated with the components of spin angular momentum

$$S_x = \frac{1}{2} \hbar \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, S_y = \frac{1}{2} \hbar \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, S_z = \frac{1}{2} \hbar \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \dots(12)$$

These are same as  $J_x, J_y$  and  $J_z$  in total angular momenta (Angular Momentum chapter) when  $j = \frac{1}{2}$

Thus we have

$$\sigma^2 = \sigma_x^2 + \sigma_y^2 + \sigma_z^2 = 3$$

and

$$S^2 = S_x^2 + S_y^2 + S_z^2$$

$$= \frac{\hbar^2}{4} (\sigma_x^2 + \sigma_y^2 + \sigma_z^2) = \frac{3}{4} \hbar^2.$$

## 9.11 COMMUTATION RELATIONS

Commutation relations satisfied by three components  $\sigma_x, \sigma_y$  and  $\sigma_z$ .

Let us take the commutation relation  $[\sigma_x, \sigma_y]$ .

We have

$$[\sigma_x, \sigma_y] = \sigma_x \sigma_y - \sigma_y \sigma_x$$

$$\begin{aligned}\sigma_x &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \sigma_y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \\ \underline{[\sigma_x, \sigma_y]} &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} - \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \\ &= \begin{bmatrix} i & 0 \\ 0 & -i \end{bmatrix} - \begin{bmatrix} -i & 0 \\ 0 & i \end{bmatrix} = \begin{bmatrix} i & 0 \\ 0 & -i \end{bmatrix} + \begin{bmatrix} i & 0 \\ 0 & -i \end{bmatrix} \\ &= 2 \begin{bmatrix} i & 0 \\ 0 & -1 \end{bmatrix} = 2i \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \underline{2i \sigma_z}\end{aligned}$$

Similarly

$$\begin{aligned}\underline{[\sigma_y, \sigma_z]} &= \sigma_y \sigma_z - \sigma_z \sigma_y \\ &= \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} - \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} - \begin{bmatrix} 0 & -i \\ -i & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} + \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} \\ &= 2 \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} = 2i \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \underline{2i \sigma_x}\end{aligned}$$

and

$$\begin{aligned}\underline{[\sigma_z, \sigma_x]} &= \sigma_z \sigma_x - \sigma_x \sigma_z \\ &= \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} - \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \\ &= \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} - \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \\ &= -2 \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \\ &= 2i^2 \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \\ &= 2i \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} = \underline{2i \sigma_y}\end{aligned}$$

Commutation relation satisfied by  $\sigma^2$  and its components  $\sigma_x, \sigma_y$  and  $\sigma_z$ . We know that

$$\sigma^2 = \sigma_x^2 + \sigma_y^2 + \sigma_z^2.$$

Let us take

$$\begin{aligned}[\sigma^2, \sigma_x] &= [\sigma_x^2 + \sigma_y^2 + \sigma_z^2, \sigma_x] \\ &= [\sigma_x^2, \sigma_x] + [\sigma_y^2, \sigma_x] + [\sigma_z^2, \sigma_x]\end{aligned}$$

But

$$[\sigma_x^2, \sigma_x] = \sigma_x^3 - \sigma_x^3 = 0$$

so

$$\begin{aligned}[\sigma^2, \sigma_x] &= [\sigma_y^2, \sigma_x] + [\sigma_z^2, \sigma_x] \\ &= [\sigma_y \sigma_y, \sigma_x] + [\sigma_z \sigma_z, \sigma_x]\end{aligned}$$



We know that

$$[ab, c] = a[b, c] + [a, c]b.$$

So 
$$\begin{aligned} [\sigma^2, \sigma_x] &= \sigma_y [\sigma_y, \sigma_x] + [\sigma_y, \sigma_x] \sigma_y + \sigma_z [\sigma_z, \sigma_x] + [\sigma_z, \sigma_x] \sigma_z \\ &= \sigma_y (-2i \sigma_z) + (-2i \sigma_z) \sigma_y + \sigma_z (2i \sigma_y) + (2i \sigma_y) \sigma_z \\ &= -2i \sigma_y \sigma_z - 2i \sigma_z \sigma_y + 2i \sigma_z \sigma_y + 2i \sigma_y \sigma_z \\ &= 0. \end{aligned}$$

$\therefore$

Similarly,

$$\begin{aligned} [\sigma^2, \sigma_x] &= 0. \\ [\sigma^2, \sigma_y] &= 0 \\ [\sigma^2, \sigma_z] &= 0. \end{aligned}$$

so that  $\sigma^2$  commutes with each component  $\sigma_x$ ,  $\sigma_y$  and  $\sigma_z$ .

### 9.12 TWO COMPONENT WAVE FUNCTIONS

As Pauli operators  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$  are  $2 \times 2$  matrices, therefore the Pauli operands must be two-component column matrix and since Pauli theory retains in essence the Schrodinger operators for dynamical variables having classical analogues, the components of these column symbols must be functions of  $(x, y, z)$  or  $(r, \theta, \phi)$  and so on as the case may be. Thus simplest Pauli operand  $\psi$  has the form

$$\psi = \begin{pmatrix} \psi_1(x, y, z) \\ \psi_2(x, y, z) \end{pmatrix} \quad \dots(1a)$$

or in brief

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} \quad \dots(1b)$$

A Pauli wave-function  $\psi$  is said to be *well behaved* if at least one of its components is non-zero and if each of its non-zero components is well behaved in the sense of Schrodinger's theory. The complex conjugate of Pauli wave function corresponding to (1) is the row matrix

$$\psi^* = [\psi_1^* \ \psi_2^*] \quad \dots(2)$$

so that

$$\psi^* \psi = [\psi_1^* \ \psi_2^*] \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \psi_1^* \psi_1 + \psi_2^* \psi_2 \quad \dots(3)$$

The Pauli wave function is said to be normalised if

$$\int \psi^* \psi d\tau = 1 \quad \dots(4)$$

or

$$\int (\psi_1^* \psi_1 + \psi_2^* \psi_2) d\tau = 1 \quad \dots(5)$$

where the integration extends over the entire three-dimensional space.

**Ex. 1.** If  $\sigma_x$ ,  $\sigma_y$  and  $\sigma_z$  are Pauli spin matrices and **A** and **B** any constant vectors, show that

$$(\vec{\sigma} \cdot \mathbf{A})(\vec{\sigma} \cdot \mathbf{B}) = \mathbf{A} \cdot \mathbf{B} + i \vec{\sigma} \cdot (\mathbf{A} \times \mathbf{B})$$

(Rohilkhand 1988; Meerut 1992, 81)

**Solution.** We have

$$\begin{aligned} (\vec{\sigma} \cdot \mathbf{A})(\vec{\sigma} \cdot \mathbf{B}) &= (\sigma_x A_x + \sigma_y A_y + \sigma_z A_z)(\sigma_x B_x + \sigma_y B_y + \sigma_z B_z) \\ &= \sigma_x^2 A_x B_x + \sigma_y^2 A_y B_y + \sigma_z^2 A_z B_z \\ &\quad + \sigma_x \sigma_y A_x B_y + \sigma_y \sigma_x A_y B_x + \sigma_y \sigma_z A_y B_z + \sigma_z \sigma_y A_z B_y \\ &\quad + \sigma_x \sigma_z A_x B_z + \sigma_z \sigma_x A_z B_x \end{aligned}$$

Keeping in mind the following properties of Pauli spin matrices :

$$\sigma_x^2 = \sigma_y^2 = \sigma_z^2 = 1 \quad (\text{i.e., Squares of Pauli spin matrices are unity}).$$

$$\text{and} \quad \begin{cases} \sigma_x \sigma_y = -\sigma_y \sigma_x = i \sigma_z \\ \sigma_y \sigma_z = -\sigma_z \sigma_y = i \sigma_x \\ \sigma_z \sigma_x = -\sigma_x \sigma_z = i \sigma_y \end{cases} \quad \text{i.e., Pauli spin matrices anticommute in pairs}$$

we get

$$\begin{aligned} (\vec{\sigma} \cdot \mathbf{A})(\vec{\sigma} \cdot \mathbf{B}) &= A_x B_x + A_y B_y + A_z B_z + i \sigma_z (A_x B_y - A_y B_x) + i \sigma_x (A_y B_z - A_z B_y) + i \sigma_y (A_z B_x - A_x B_z) \\ &= \mathbf{A} \cdot \mathbf{B} + i \vec{\sigma} \cdot (\mathbf{A} \times \mathbf{B}) \end{aligned}$$

## 9 FURTHER PAULI OPERATORS

In order that any operator  $P$  may combine with the spin matrices or operators on two-component Pauli wave-function, it is first converted into a matrix form by multiplying with a  $2 \times 2$  unit diagonal matrix, i.e.

$$\hat{P} = \hat{P} I = \hat{P} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \hat{P} & 0 \\ 0 & \hat{P} \end{pmatrix}$$

For example Pauli operators associated with  $x, p_x$  and  $L_z$

$$x = \begin{bmatrix} \hat{x} & 0 \\ 0 & \hat{x} \end{bmatrix}, p_x = \begin{bmatrix} \hat{p}_x & 0 \\ 0 & \hat{p}_x \end{bmatrix}, L_z = \begin{bmatrix} \hat{L}_z & 0 \\ 0 & \hat{L}_z \end{bmatrix}$$

where the matrix elements are the appropriate Schrodinger operators. As the Schrodinger operators associated with  $\hat{x}, \hat{p}_x, \hat{L}_z$  are  $x, \frac{\hbar}{i} \frac{\partial}{\partial x}$  and  $\frac{\hbar}{i} \frac{\partial}{\partial \phi}$  respectively, therefore the Pauli operators expressed by (2) take the following explicit forms :

$$x = \begin{bmatrix} \hat{x} & 0 \\ 0 & \hat{x} \end{bmatrix}, p_x = \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial x} \end{bmatrix}, L_z = \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial \phi} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial \phi} \end{bmatrix} \quad \dots(3)$$

**Properties of Pauli Operators :** As Pauli operators are  $2 \times 2$  matrices, they obey standard laws of matrix algebra.

(1) The Pauli operators are added together by the standard rule of matrix addition i.e.

$$\begin{aligned} L_z + S_z &= L_z I + \frac{1}{2} \hbar \sigma_z = \frac{\hbar}{i} \frac{\partial}{\partial \phi} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \frac{1}{2} \hbar \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \\ &= \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial \phi} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial \phi} \end{bmatrix} + \begin{bmatrix} \frac{1}{2} \hbar & 0 \\ 0 & -\frac{1}{2} \hbar \end{bmatrix} \\ &= \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial \phi} + \frac{\hbar}{2} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial \phi} - \frac{\hbar}{2} \end{bmatrix} = \hbar \begin{bmatrix} -i \frac{\partial}{\partial \phi} + \frac{1}{2} & 0 \\ 0 & -i \frac{\partial}{\partial \phi} - \frac{1}{2} \end{bmatrix} \quad \dots(4) \end{aligned}$$

(2) The Pauli operators are multiplied together by the standard rule of matrix multiplication e.g.

$$p_x S_x = \begin{bmatrix} p_x & 0 \\ 0 & p_x \end{bmatrix} \frac{1}{2} \hbar \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \text{since } S_x = \frac{1}{2} \hbar \sigma_x = \frac{1}{2} \hbar \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$\begin{aligned}
 &= \frac{1}{2} \hbar \begin{bmatrix} 0 & p_x \\ p_x & 0 \end{bmatrix} = \frac{1}{2} \hbar \begin{bmatrix} 0 & \frac{\hbar}{i} \frac{\partial}{\partial x} \\ \frac{\hbar}{i} \frac{\partial}{\partial x} & 0 \end{bmatrix} \\
 &= \frac{1}{2} \frac{\hbar^2}{i} \begin{bmatrix} 0 & \frac{\partial}{\partial x} \\ \frac{\partial}{\partial x} & 0 \end{bmatrix} \quad \dots(5)
 \end{aligned}$$

(3) In the multiplication of Pauli matrices with non-commuting elements the order of the matrix factors and that of element factors must be preserved e.g.

$$\begin{aligned}
 x p_x &= \begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix} \begin{bmatrix} p_x & 0 \\ 0 & p_x \end{bmatrix} = \begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix} \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial x} \end{bmatrix} \\
 &= \frac{\hbar}{i} \begin{bmatrix} x \frac{\partial}{\partial x} & 0 \\ 0 & x \frac{\partial}{\partial x} \end{bmatrix}
 \end{aligned}$$

and

$$\begin{aligned}
 p_x x &= \begin{bmatrix} p_x & 0 \\ 0 & p_x \end{bmatrix} \begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix} = \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial x} \end{bmatrix} \begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix} \\
 &= \frac{\hbar}{i} \begin{bmatrix} \frac{\partial}{\partial x} x & 0 \\ 0 & \frac{\partial}{\partial x} x \end{bmatrix} \quad \dots(7)
 \end{aligned}$$

(4) The Pauli operators, whatever they may be, operate on the two-component wave-function according to standard rule e.g.

$$\sigma_x \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} \psi_2 \\ \psi_1 \end{pmatrix} \quad \dots(8)$$

$$\sigma_y \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} -i\psi_2 \\ i\psi_1 \end{pmatrix} \quad \dots(9)$$

$$\sigma_z \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} \psi_1 \\ -\psi_2 \end{pmatrix} \quad \dots(10)$$

$$S_x \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \sigma_x \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \begin{pmatrix} \psi_2 \\ \psi_1 \end{pmatrix}$$

Similarly

$$S_y \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \sigma_y \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \begin{pmatrix} -i\psi_2 \\ i\psi_1 \end{pmatrix} \quad \dots(11)$$

and

$$S_z \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \sigma_z \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{2} \hbar \begin{pmatrix} \psi_1 \\ -\psi_2 \end{pmatrix}$$



Also

$$p_x \psi = \begin{pmatrix} p_x & 0 \\ 0 & p_x \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} \frac{\hbar}{i} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial x} \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{\hbar}{i} \begin{pmatrix} \partial \psi_1 / \partial x \\ \partial \psi_2 / \partial x \end{pmatrix}$$

A Pauli operator can usually be written in various forms having different degree of explicitness. For example in the case of  $p_x$ , the alternative forms are

$$p_x \begin{bmatrix} p_x & 0 \\ 0 & p_x \end{bmatrix}, \begin{bmatrix} \frac{\hbar}{i} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\hbar}{i} \frac{\partial}{\partial x} \end{bmatrix} \text{ or } \frac{\hbar}{i} \begin{pmatrix} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\partial}{\partial x} \end{pmatrix} \quad \dots(12)$$

Therefore any of the above forms may be substituted for another according to convenience.

### 9.14 PAULI EIGEN-VALUES AND EIGEN FUNCTIONS

In Pauli theory eigen-values and eigen functions are defined in the standard way. If  $\hat{P}$  is an operator,  $\psi$  is a well-behaved operand and  $\lambda$  is a number and if they satisfy the equation

$$\hat{P} \psi = \lambda \psi \quad \dots(1)$$

Then  $\psi$  is said to be an *eigen function* of operator  $P$ ,  $\lambda$  is said to be *eigen value* of  $\hat{P}$  in the state  $\psi$ . The eigen function  $\psi$  and the eigen value  $\lambda$  are said to belong to each other.

If  $\hat{P}$  is a matrix involving numerical elements as  $\sigma_x, \sigma_y$  and  $\sigma_z$ , then eqn. (1) is an ordinary matrix eigen value equation and the eigen-values and eigen functions of  $\hat{P}$  are computed by the usual matrix methods, except, that  $\psi_1$  and  $\psi_2$  are now allowed to be well behaved functions of  $(x, y, z)$ .

If  $\hat{P}$  is a differential operator such as  $p_x, p_y, p_z$ , then

$$\hat{P} \psi = \lambda \psi \text{ i.e. } \begin{pmatrix} \hat{P} & 0 \\ 0 & \hat{P} \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \lambda \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

$$\text{i.e. } \begin{pmatrix} \hat{P} & \psi_1 \\ \hat{P} & \psi_2 \end{pmatrix} = \begin{pmatrix} \lambda & \psi_1 \\ \lambda & \psi_2 \end{pmatrix} \quad \dots(2)$$

This splits up into the following pair of simultaneous equations

$$\begin{aligned} \hat{P} \psi_1 &= \lambda \psi_1 \\ \hat{P} \psi_2 &= \lambda \psi_2 \end{aligned} \quad \dots(3)$$

This set of equations does not possess dependent variables in common and each equation of the set just represents the Schrodinger equation for the operator  $\hat{P}$ . Thus it follows that *the Pauli theory and the Schrodinger theory agree for possible values of dynamical variables such as position, linear momenta and orbital angular momenta.*

If the Hamiltonian of a system depends on time  $t$ , then every Pauli wave-function satisfies the equation

$$H\psi = i\hbar \frac{\partial \psi}{\partial t} \quad \dots(4)$$

where  $H$  is the pauli operator associated with the Hamiltonian

i.e. 
$$H = \begin{pmatrix} H & 0 \\ 0 & H \end{pmatrix} \text{ and } \frac{\partial \psi}{\partial t} = \begin{pmatrix} \partial \psi_1 / \partial t \\ \partial \psi_2 / \partial t \end{pmatrix}.$$

∴ Equation (4) takes the form

$$\begin{pmatrix} H & 0 \\ 0 & H \end{pmatrix} \begin{pmatrix} \partial \psi_1 / \partial t \\ \partial \psi_2 / \partial t \end{pmatrix} = i\hbar \begin{pmatrix} \partial \psi_1 / \partial t \\ \partial \psi_2 / \partial t \end{pmatrix} \quad \dots(5)$$

Equation (4) is identical with time dependent Schrodinger equation.

In Pauli's theory the expectation values of a dynamical variable  $p$  for a state  $\psi$  is taken to be

$$\langle p \rangle = \frac{\int \psi^* \hat{p} \psi d\tau}{\int \psi^* \psi d\tau} \quad \dots(6)$$

where  $\hat{p}$  in the numerator is the Pauli operator associated with the dynamical variable  $p$  and the integral extends over all space. Obviously Pauli expectation formula is formally identical with Schrodinger expectation formula.

### 9.15 ELECTRON SPIN FUNCTIONS

In Pauli theory the eigen value equation of operator  $\sigma_x$  is

$$\sigma_x \psi = \alpha \psi \quad \dots(1)$$

where  $\alpha$  is the eigen value of  $\sigma_x$  in state  $\psi$

As 
$$\psi = \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix} \text{ and } \sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

Equation (1) takes the form

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix} = \alpha \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix}$$

or

$$\begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix} = \begin{bmatrix} \alpha \psi_1 \\ \alpha \psi_2 \end{bmatrix} \quad \dots(2)$$

This equation is equivalent to two separate equations

$$\psi_1 = \alpha \psi_1 \quad \dots(3a)$$

$$\psi_2 = \alpha \psi_2 \quad \dots(3b)$$

From (3a) and (3b), we have

$$\alpha^2 = 1 \text{ or } \alpha = \pm 1.$$

A convenient set of orthonormal one-particle spin functions is provided by the normalized eigen functions of  $L^2$  and  $L_z$  matrices. In this case the eigen functions are  $(2S + 1)$  row, one column matrices. For electron  $S = \frac{1}{2}$ .

So eigen function matrices for electron have

$$(2 \times \frac{1}{2} + 1) \text{ row and one column.}$$

or

$$2 \text{ rows and one column.}$$

The respective normalised wave-functions are

$$\psi_x(\frac{1}{2}) = \frac{1}{\sqrt{2}} \begin{Bmatrix} 1 \\ 1 \end{Bmatrix} \text{ and } \psi_x(-\frac{1}{2}) = \frac{1}{\sqrt{2}} \begin{Bmatrix} 1 \\ -1 \end{Bmatrix}. \quad \dots(4)$$

In a similar way,



$$\begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix} = \beta \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix}$$

so that

$$-i\psi_2 = \beta\psi_1,$$

$$i\psi_1 = \beta\psi_2,$$

i.e.

$$\beta^2 = 1, \beta = \pm 1.$$

So the normalised wave functions are

$$\psi_y\left(\frac{1}{2}\right) = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ i \end{bmatrix} \text{ and } \psi_y\left(-\frac{1}{2}\right) = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -i \end{bmatrix} \quad \dots(5)$$

Similarly normalised wave-functions for z-component are

$$\psi_z\left(+\frac{1}{2}\right) = \begin{bmatrix} 1 \\ 0 \end{bmatrix}; \psi_z\left(-\frac{1}{2}\right) = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad \dots(6)$$

### 9.16 SPIN MATRICES AND EIGEN FUNCTIONS (GENERAL CASE)

Let the identical particles be represented by 1, 2, ...,  $n$ . The spin co-ordinates differ from the space co-ordinates in that they take on only  $(2s+1)$  values for a particle of spin  $s$ , instead of the infinite number of values that are taken by each space co-ordinate. The spin wave function of a particle is completely determined by the  $(2s+1)$  numbers.

A set of orthonormal one particle spin functions is given by the normalized eigen functions of the total angular momentum  $J$  and its component  $J_z$  matrices. The eigen functions are  $(2s+1)$  row, one column that have zero in all positions except one. For example if  $s = \frac{3}{2}$ , the four spin eigen functions are easily seen to be

$$\psi\left(\frac{3}{2}\right) = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \psi\left(\frac{1}{2}\right) = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \psi\left(-\frac{1}{2}\right) = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \psi\left(-\frac{3}{2}\right) = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \quad \dots(1)$$

and the corresponding eigen values of  $S_z$  are  $\frac{1}{2}\hbar, \frac{3}{2}\hbar, \frac{1}{2}\hbar$  and  $-\frac{3}{2}\hbar$  respectively.

The orthonormality is demonstrated by multiplying the hermitian adjoint of one spin function into itself or another function, i.e.

$$[0 \ 1 \ 0 \ 0] \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = 1, [0 \ 1 \ 0 \ 0] \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = 0, \text{ etc.}$$

### 9.17 STATISTICAL WEIGHT OR A PRIORI PROBABILITY

In some cases there is only one eigen function corresponding to each eigen value. In such cases the eigen state or the energy level is said to be *non-degenerate*. However in some other cases there are a number of eigen functions corresponding to a single eigen value. In these cases the eigen state is said to be *degenerate*. In the degenerate cases *the number of eigen states for the particular energy state or level is called the degeneracy of that state*. Thus if  $g_i$  is the degeneracy for the eigen value  $\epsilon_i$ , then  $g_i$  is the number of eigen states for the  $i$ th eigen state having energy  $\epsilon_i$ . Obviously for a non degenerate case  $g_i = 1$ . An important postulate of quantum statistics concerning the probability of eigen-states is that *every eigen state possesses an equal a priori probability*. According to this postulate the eigen state in